

# Introduction to Permutation Models

with an Application to Ring Theory

L. Halbeisen (ETH Zürich)

11th Young Set Theory Workshop (Lausanne 2018)

# The Language of Set Theory with Atoms

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# The Language of Set Theory with Atoms

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- ▶ The collection of atoms is denoted by  $A$ , and we add the constant symbol  $A$  to the language of Set Theory.
- ▶ The language of Set Theory with atoms, denoted ZFA, consists of the relation symbol “ $\in$ ” and the constant symbol “ $A$ ”.

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- ▶ **Axiom of Empty Set for ZFA**

$$\exists x(x \notin A \wedge \forall z(z \notin x))$$

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- ▶ **Axiom of Atoms**

$$\forall x(x \in A \leftrightarrow (x \neq \emptyset \wedge \neg \exists z(z \in x)))$$

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# A Model of ZFA + AC

$$M_0 := A,$$

$$M_\alpha := \bigcup_{\beta \in \alpha} M_\beta \quad \text{if } \alpha \text{ is a limit ordinal,}$$

$$M_{\alpha+1} := \mathcal{P}(M_\alpha),$$

$$\mathcal{M} := \bigcup_{\alpha \in \Omega} M_\alpha.$$

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- ▶  $\hat{\mathbf{V}} := \bigcup_{\alpha \in \Omega} \mathcal{P}^\alpha(\emptyset)$  is a model of ZF and is called the **kernel** of  $\mathcal{M}$ .
- ▶ If the construction of  $\mathcal{M}$  was carried out in a model of ZFC, then  $\hat{\mathbf{V}} \models \text{ZFC}$  and  $\mathcal{M} \models \text{ZFA} + \text{AC}$ .

# Permutation Models: normal filters

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- ▶ We say that a set  $\mathcal{F}$  of subgroups of  $\mathcal{G}$  is a **normal filter** on  $\mathcal{G}$ , if  $\mathcal{G} \in \mathcal{F}$  and for all  $H, K \leq \mathcal{G}$  we have:

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(A) if  $H \in \mathcal{F}$  and  $H \leq K$ , then  $K \in \mathcal{F}$

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  - (C) if  $\pi \in \mathcal{G}$  and  $H \in \mathcal{F}$ , then  $\pi H \pi^{-1} \in \mathcal{F}$
  - (D) for each  $a \in A$ ,  $\{\pi \in \mathcal{G} : \pi a = a\} \in \mathcal{F}$

# Permutation Models: a simple normal filter

For each finite set  $E \subseteq A$ , let

$$\text{fix}_{\mathcal{G}}(E) = \{\pi \in \mathcal{G} : \pi a = a \text{ for all } a \in E\}.$$

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Then the filter  $\mathcal{F}$  on  $\mathcal{G}$  generated by the subgroups  $\text{fix}_{\mathcal{G}}(E)$ , where  $E$  is a finite subset of  $A$ , is a normal filter.

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# Permutation Models: symmetric sets

For every  $\pi \in \mathcal{G}$  and for every set  $x \in \mathcal{M}$  we can define  $\pi x$  by stipulating

$$\pi x = \begin{cases} \emptyset & \text{if } x = \emptyset, \\ \pi x & \text{if } x \in A, \\ \{\pi y : y \in x\} & \text{otherwise.} \end{cases}$$

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For  $x \in \mathcal{M}$ , the **symmetry group** of  $x$ , denoted  $\text{sym}_{\mathcal{G}}(x)$ , is defined by

$$\text{sym}_{\mathcal{G}}(x) = \{\pi \in \mathcal{G} : \pi x = x\}.$$

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A set  $x$  is **symmetric (with respect to  $\mathcal{F}$ )** if

$$\text{sym}_{\mathcal{G}}(x) \in \mathcal{F}.$$

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- ▶ If  $\mathcal{V} \subseteq \mathcal{M}$  is the class of all hereditarily symmetric sets, then  $\mathcal{V}$  is a transitive model of ZFA, a so-called **permutation model**.

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- ▶ If  $\mathcal{F}$  is the normal filter of finite sets, then a set  $x$  belongs to  $\mathcal{V}$  if and only if there exists a *finite* set of atoms  $E_x \subseteq A$ , called a **support** of  $x$ , such that

$$\text{fix}_G(E_x) \subseteq \text{sym}_G(x).$$

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# The Ordered Mostowski Model

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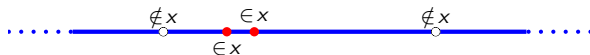
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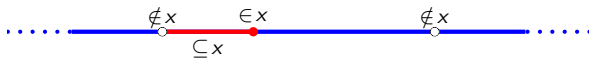
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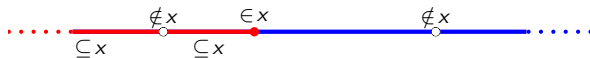
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- ▶ For a given finite set  $E \subset A$  of size  $m$ , there are  $2^{2m+1}$  sets  $x \subseteq A$  with support  $E$ .

# An Independence Result

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This gives us just consistency with ZFA, but fortunately,  
the result can be transferred to models of ZF!

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We have

$\text{ZF} \vdash |\text{fin}(X)| < |\mathcal{P}(X)|$  for every infinite set  $X$ .

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It is consistent with ZF that there exists an infinite set  $X$ , such that

- ▶ there is an injection  $\text{fin}(X) \hookrightarrow \mathcal{P}(X)$  [trivial],

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Such sets do not exist in models of ZFC.

$\Rightarrow$  The existence of such a set  $X$  is independent of ZF.

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A function  $f : R^{(n)} \rightarrow R$  is called an  **$n$ th-root function** if for every  $y \in R^{(n)}$  we have  $f(y)^n = y$ .

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A function  $f : R^{(n)} \rightarrow R$  is called an  **$n$ th-root function** if for every  $y \in R^{(n)}$  we have  $f(y)^n = y$ .

**Theorem** (H., Hungerbühler, Lazarovich, Lederle,  
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The following statements are equivalent:

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# Integral Domains

## Axiom of Choice for Families of $n$ -element Sets $C_n$ :

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### Question

For every positive integer  $n$ :

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# Integral Domains

## Pair Choice for Families of $n$ -element Sets $2C_n$ :

If  $\mathcal{F} = \{Y_\lambda : \lambda \in \Lambda\}$  is a family of  $n$ -element, then from each  $Y_\lambda \in \mathcal{F}$  we can choose a non-empty set with at most 2 elements.

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## Proposition (H., H., L., L., L., S.)

For odd integers  $n \geq 3$ ,

$2C_n \Rightarrow$  every integral domain has an  $n$ th-root function.

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In order to show that the answer to the question is **no**,  
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$$Q_i = \{b_{i,0}, b_{i,1}\}$$

and

$$P_i = \{a_{i,0}, \dots, a_{i,p-1}\},$$

where  $p > 2$  is an arbitrary but fixed prime.

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- ▶ The set of atoms  $A$ :

$$A = \bigcup_{i \in \mathbb{Q}} Q_i \cup \bigcup_{j \in \mathbb{Q}} P_j.$$

$$2C_n \not\cong C_n$$

Let  $\mathcal{G}$  be the group of permutations of  $A$  consisting of permutations  $\pi$  which satisfy

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**Proposition** (H., H., L., L., L., S.)

$2C_{p+2} \not\Rightarrow C_{p+2}$  is consistent with ZF.

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*Proof.* In the modified Mostowski model we have

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Rings $2C_n \not\Rightarrow C_n$ **Proposition** (H., H., L., L., L., S.)
$$2C_{p+2} \not\Rightarrow C_{p+2} \text{ is consistent with ZF.}$$

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⊥

$$2C_n \not\Rightarrow C_n$$

**Corollary** (H., H., L., L., L., S.)

every integral domain has an 5th-root function  $\not\Rightarrow C_5$

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$$2\mathbb{C}_n \not\Rightarrow \mathbb{C}_n$$

## Corollary (H., H., L., L., L., S.)

every integral domain has an 5th-root function  $\not\Rightarrow \mathbb{C}_5$

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- ▶  $2\mathbb{C}_5 \Rightarrow$  every integral domain has an 5th-root function,
- ▶  $2\mathbb{C}_5 \not\Rightarrow \mathbb{C}_5$ .

┆

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